# Total number of supervised and other fee-paying entities

Fee-paying entities	31 December 2021	31 December 2022
Credit institutions	208	190
Investment firms	179	182
Fund management companies and AIFMs	45	42
Securities issuers	200	206
Stock exchange, clearing corporation	1	1
Finnish Central Securities Depository	1	1
Other fee-paying entities in the financial sector	201	210
Financial sector, total	835	832
Life insurance companies	9	9
Non-life insurance companies	34	34
Pension insurance companies	4	4
Unemployment funds	18	16
Pension foundations and funds	43	41
Sickness funds and other insurance funds	124	122
Insurance associations	5	5
Insurance brokers	91	86
Public-sector funds	4	4
Other fee-paying entities in the insurance sector	42	43
Insurance sector, total	374	364
All supervised and other fee-paying entities, total	1,209	1,196

In addition, the FIN-FSA supervises, for example, insurance agents (3,722) and compliance with the obligation to declare insider holdings.

#### **Expenses and funding**

Expenses and funding, EUR thousands	2021	2022*
Staff expenses	26,099	26,756
Staff-related expenses	234	448
Other expenses	5,886	5,708
Services	2,811	2,456
Real estate expenses	1,430	1,434
Other expenses	1,645	1,818
Depreciation and amortisation	912	1,084
Bank of Finland services	6,543	6,791
Total expenses	39,674	40,787
Funding of operations		
Supervision fees	35,568	36,650
Processing fees	2,584	2,073
Other income	6	1
Bank of Finland's contribution: 5% of expenses	1,984	2,039
Surplus carried over from the previous year	1,903	2,371
Surplus carried over to the next year	-2,371	-2,347
Total funding	39,674	40,787

\*The figures for 2022 are unaudited and unconfirmed.

\*The surpluses carried over to 2021 from the previous year and to the next year have been revised after the publication of the Annual Report 2021. At the time of publication, the surplus carried over from the previous year was reported as EUR 1,900 million and the surplus carried over to the next year was reported as EUR 2,368 million. These figures have now been revised to EUR 1,903 million and EUR 2,371 million.

# **Set supervision fees**

Set supervision fees, EUR thousands		
Fee-paying entities	2021	2022
Credit institutions	18,810	18,894
Investment firms	1,513	1,977
Fund management companies and AIFMs	2,806	3,232
Securities issuers	2,605	2,615
Stock exchange, clearing corporation	357	349
Finnish Central Securities Depository	243	232
Other fee-paying entities in the financial sector	764	766
Financial sector, total	27,098	28,065
Life insurance companies	1,540	1,639
Non-life insurance companies	1,576	1,584
Pension insurance companies	2,753	2,783
Unemployment funds	1,060	1,063
Pension foundations and funds	231	210
Sickness funds and other insurance funds	102	97
Insurance associations	5	5
Insurance brokers	131	120
Public-sector funds	794	861
Other fee-paying entities in the insurance sector	263	244
Insurance sector, total	8,455	8,606
Adjustment items carried over from previous years	15	-21
Fee-paying entities, total	35,568	36,650

# **Processing fees**

#### Processing fees, EUR thousands

Fee-paying entities	2021	2022
Credit institutions	53	149
Investment firms	241	59
Fund management companies and AIFMs	1,227	953
Securities issuers	343	272
Other fee-paying entities in the financial sector	67	72
Financial sector, total	1,931	1,505
Insurance companies <sup>1</sup>	67	24
Unemployment funds	24	23
Pension foundations and funds	56	59
Sickness funds and other insurance funds	56	30
Insurance brokers <sup>2</sup>	440	424
Other fee-paying entities in the insurance sector	10	8
Insurance sector, total	653	568
Fee-paying entities, total	2,584	2,073

Life, non-life and pension insurance companies
Insurance brokers and agents

#### **Case management**

Items initiated in the FIN-FSA's case management system (main functions and their major categories) <sup>12</sup>	Number
Management	188
Regulation	152
Supervision	5,838
Examples of categories:	
Notifications; branches and cross-border activities	494
Articles of association, by-laws and regulations; confirmation and changes	140
Letters by private citizens	278
Fit & Proper reports	328
Inspections	88
Authorisations; granting and expansion	33
Other	271
Examples of categories:	
Domestic cooperation	55
International cooperation	15
	6,449

In addition, 380 new applications for registration and 6,102 applications for change were processed in the insurance agent register outside case management.

#### Parliamentary hearings and submissions on draft legislation

The FIN-FSA's experts were invited to hearings by various committees of the Finnish Parliament on 53 occasions. The FIN-FSA was requested to make 48 submissions on draft Finnish legislation and 53 other submissions in its field of competence.

 $<sup>^{\</sup>rm 12}~$  Figures include items initiated via case management and the FIN-FSA's e-services system.